

## CHAPTER 2

### FORDTIS DESCRIPTION

#### A. PURPOSE

FORDTIS is an automated system that assists DoD decision makers and analysts in reviewing, coordinating, reaching decisions, and maintaining records on proposals to release CMI and technology to other nations and international organizations. Proposals under consideration may take the form of requests for CMI, exceptions to the NDP, visits, accreditations, or export license applications for U.S. Munitions List, CCL, or COCOM list items.

#### B. SYSTEM SPONSOR

FORDTIS is managed by the Deputy Director for FORDTIS, Directorate for Counterintelligence and Security Policy (CSP), Office of the Deputy Under Secretary of Defense for Policy (ODUSD(P)). The U.S. Air Force, 1st Information Systems Group, is the executive agent for FORDTIS automated data processing (ADP) operations, maintenance, and communications.

#### C. SYSTEM CONFIGURATION

The FORDTIS central site is located in the Pentagon and consists of central processors, a data base management system, storage devices, communications, and communications security equipment. FORDTIS online users are at various sites in the Office of the Secretary of Defense, the Defense Agencies, the Military Departments, and at selected non-DoD Government departments. A secure communications network links the online users to the central site. FORDTIS users who do not have access to an online terminal interact with the system as described in Chapter 3.

#### D. SYSTEM DATA BASE STRUCTURE

1. General. FORDTIS data bases are in three functional categories. The Tracking and Assignment data bases are for management of active cases. The Historical data bases contain records of cases that have been processed by the Department of Defense. The Reference data bases contain a variety of information that analysts frequently need for reference during case processing.

2. Tracking and Assignment Data Bases. These data bases contain records of all cases currently being processed on FORDTIS. The data bases are used when creating an active case, when making staffing assignments, when recording the positions and remarks of the staffing activities, and when consolidating and recording final case decisions. These data bases also have features that allow checking of case processing status and case assignments at the management and analyst levels. Access to Component's position and

remarks information in these data bases is controlled. Reports are generated from these data bases that provide statistical data and status information. Reports are described in Chapter 3.

3. Historical Data Bases. These data bases are divided into the major functional case types and contain records of cases that have been processed by the Department of Defense. Reports generated from these data bases consist of one or more case reports extracted from the data base as determined by user requirements. Reports are described in Chapter 3.

a. CMI Data Base. This data base contains records of action taken on proposals to disclose or deny CMI to foreign governments and international organizations, DBAs have been appointed in each of the Military Departments, the Defense Intelligence Agency (DIA), and the Office of the Secretary of Defense (see Appendix C).

b. NDPE Data Base. This data base contains records of action concerning approvals and denials of requests for exception to the NDP. The DBA is the Deputy Director for International Security and Foreign Disclosure, Directorate for CSP, ODUSD(P) .

c. Visits Data Base. This data base contains records of actions taken to approve or deny requests by foreign governments and international organizations to visit DoD activities and defense contractors. Routine data entry for this data base shall begin after August 1985. DBAs have been appointed in each of the Military Departments and DIA.

d. Accreditations Data Base. This data base contains records of action taken to approve or deny requests by foreign governments and international organizations to accredit their nationals to DoD activities. DBAs have been appointed in each of the Military Departments and DIA.

e. Munitions Data Base. This data base contains records of action taken by the Department of Defense to recommend approval, denial, or modification of license applications to export items or technical data on the U.S. Munitions List. The DBA for this data base is the Director for Munitions Control, Office of the Deputy Assistant Secretary of Defense ( International Economics Trade and Security Policy) (ODASD(IETSP) ).

f. CCL Data Base. This data base contains records of action taken by the Department of Defense to recommend approval, denial, or modification of license applications to export items on the CCL. The DBA is the Director for Strategic Trade Policy (STP) , ODASD(IETSP) .

g. COCOM Data Base. This data base contains records of action taken by the Department of Defense to recommend approval, denial, or modification of proposals submitted by the COCOM member nations to export items on the COCOM lists to Communist countries. The DBA is the Director for STP, ODASD(IETSP) .

4. Reference Data Bases. These data bases contain information frequently used by analysts when reviewing active cases . These data bases change based on analyst requirements and availability of data. Examples include the U.S. Munitions List, the CCL, and the NDP, Annex A. These data bases are primarily for online users.